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61 Reference to the Ancillary work

62

63 Eleonora Maria Pieroni, Antonio V. Sykes (Convenor), Viola Galligioni, Juan
64 Estefanell, Stuart Hetherington, Marco Brocca, Joao Correia, André Ferreira, Graziano
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67 Association for Cephalopod Research 'CephRes'. DOI: xxx; link

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69

70 Legislative framework

71 We analyzed the available legislation and recommendations regarding capture and
72 transport of aquatic animals, and identified more than 20 different documents^a. Here
73 we do not provide a detailed overview about the legislative framework, but refer to
74 the ancillary work (see Pieroni et al., 2021) and suggest the Reader to refer to it for
75 completeness (see also table 1 in Pieroni et al., 2021).

76 Our analysis revealed that most of the recommendations are aimed at protecting
77 animals during transportation, with limited detail provided for the capture from the
78 wild, at least when aquatic species are considered. Another key- and reiterated
79 element in these norms is the attention to the competence of personnel; i.e., the need
80 for a proper training for those involved in the capture and transport of wild animals.

81 All of them point out that the main source of suffering and distress in animals being

^a We refer to: 86/609/EEC; 91/67/EEC; ETS No.193; EC No 1/2005; 2006 No. 3260; 2007/526/EC; Directive 2010/63/EU; EAZA Position Statement on Council Regulation 1/2005: Protection of Animals during Transport; NC3Rs' best practice for animal transport; Guidelines for the Transport of Laboratory Animals; Conf. 10.21 (Rev. CoP16); Packer's Guidelines Inv1/Aquatic invertebrates; Aquatic Animal Health Code; Guide for the Care and Use of Laboratory Animals; Australian code for the care and use of animals for scientific purposes 8th Edition 2013; Olfert et al., 1993.

82 captured, handled, and transported is related to the limited competence of people
83 involved.

84 Available legislation and guidelines also include information about proper *i.*
85 documentation and planning of the journey, *ii.* methods and means of transport
86 including design of containers, *iii.* health assessment, food, and water supplies for the
87 animals, *iv.* the need of acclimatization before and after transport of wild animals.

88 All the documents we considered have very little information (or any) relevant to
89 cephalopod molluscs.

90

91 Competence and attitude of the personnel involved in capture and 92 transportation

93 The team of collectors or fishers and shippers has to be properly trained for the scope,
94 and it is responsibility of Authorities to verify that this will occur. Depending on the
95 cases, the team should avail of the support and advice of a Designated Veterinarian
96 who shall check the health status of the animals and shall take the proper actions to
97 avoid or end suffering.

98 Annex IV of the EU Council Regulation No 1/2005¹ states that drivers and attendants
99 (as referred to in Article 6(5) and Article 17(1)) shall have successfully completed the
100 training and have passed an examination approved by the NCA, ensuring that
101 examiners are independent. The courses should include technical and administrative
102 aspects of Community legislation concerning the protection of animals during
103 transport, including animal physiology (e.g., drinking and feeding needs), animal
104 behavior and the concept of stress. Moreover, practical aspects of animal handling and
105 of the emergency care both for the personnel and the animals should be considered in
106 the training program assuring that personnel involved (drivers) should know how the

107 driving behavior might impact on the welfare of the transported animals thus also
108 impacting the quality of meat, if the animals are destined for food consumption (see
109 Annex IV)¹.

110

111 Principles for Transport, documentation and planning of the journey

112 Regardless of the purpose of capture and transport of animals, the main principles
113 included in the guidelines and legislations (review in Pieroni et al., 2021) concerning
114 the planning phases prior collection and transport, may be applied to cephalopods
115 after the due considerations.

116 Transport, and in particular long journeys, might be detrimental for the health
117 conditions of animals^{1, 2} thus, all the planning should be done in advance and the
118 transport should be carried out without delay to the place of destination.

119 According to the available knowledge that with increased duration of confinement for
120 transport, both consumption of available oxygen and detrimental changes in water
121 chemistry (e.g., accumulation of ammonia, carbon dioxide and depletion of oxygen),
122 we can distinguish³:

123 i. **Short duration journey** (< 2h; e.g., from local capturing site to local establishment).

124 Although this is most likely the less stressful situation, there are important
125 conditions that should be monitored before and during the trip to the final location
126 (e.g., pH, temperature and salinity, saturation of oxygen, light exposure).

127 Vibration, noise, and any other kind of interference should be limited and/or
128 avoided; attention to the infliction of any physical damage should be constantly
129 given.

130 ii. **Long duration journey** (> 2h; e.g., between towns, countries and/or
131 intercontinental). The same precautions described for the short duration trip

132 applies also to this situation. However, considering that the journey might imply
133 the shipping of the animals by sea or by air, special attention should be given to
134 the containers used for this kind of transportations. Care should be provided to

135 include the documentation concerning management of the welfare state of the
136 animals during and after the journey (see Supplementary Info and Pieroni et al.,
137 2021).

138

139 During a short-duration transport, plastic bags may be replaced with large plastic
140 buckets or boxes with a lid containing sufficient pre-oxygenated seawater to allow the
141 animal to be completely immersed^{3, 4}. For long-duration transport and similarly to
142 fish⁵, considering animals' body size, the cephalopod should be placed with adequate
143 volume of seawater and oxygen-enriched air in double common aquarium aerated
144 bags (see Table 2). For transport periods over 12 hours, aeration and oxygenation may
145 be necessary being careful not to induce distress to the animals (i.e., water turbulence
146 and bubbling can cause air entrapment in the mantle cavity or produce microbubbles
147 affecting the integrity of the mucus layer on the skin). Sealed holding bags containing
148 oxygenated seawater should be placed into insulated boxes (e.g., Styrofoam) to ensure
149 that a temperature, appropriate to the species, is maintained during transport³. Bags
150 should be packed with cushioning material (e.g., paper, Styrofoam pellets) to ensure
151 they do not move during transport and the external shipping box should report the
152 labels: "this side up" and "live animals" (see 'Other General Requirements' in Table
153 2).

154

155 In Europe, but also according to other national legislations (see table 1 in Pieroni et al.,
156 2021), every transportation should be preceded by accurate planning of the journey
157 and shall be accompanied by a proper documentation stating: **a)** the origin and their
158 ownership; **b)** the place of departure; **c)** the date and time of departure; **d)** the intended

159 place of destination; e) the expected duration of the intended journey (see Chapter II,
160 Art. 4 and Appendix included in EU Council Regulation No 1/2005)¹. The regulation
161 recommend that a competent scientific committee shall be consulted about the
162 duration and the route plans of animal transport, and according to a harmonized
163 European model, certificate for transporters must be presented. Authorities should
164 shall take the necessary measures to prevent or reduce to a minimum any delay during
165 transport (e.g., programming special arrangements at the place of transfers, exit points
166 and border inspections to give priority to the transport of animals) but resting periods
167 at specific control posts shall be planned if the journey is longer (Art. 22(1))¹.
168 Furthermore, the consignment shall be done immediately unless the detainment is
169 necessary for the health of the animal or for public safety, such as possible spread of
170 zoonosis if there are some diseased animals (Art. 22(2))¹. For such a reason, veterinary
171 checks at borders' inspection include the analysis of the welfare conditions in which
172 the animals are transported.

173 Further documentation is needed if transporting wild, timid or dangerous species,
174 providing instructions about feeding, watering and any special care required for them
175 (see Chapter II, Section 1.3)¹.

176 The person planning the journey has to bring and compile a journey log, which reports
177 in detail any daily event including animals' health status, any intervention performed
178 and any detour from the original plan (see Annex II)¹. As for the regulation of the
179 international transport of animals the ETS No.193⁶ applies.

180

181 On the basis of EU legislation and other documents - such as ETS No.193 and Council
182 Recommendation 2007/526/EC (see Pieroni et al., 2021 for details) - specifically
183 addressed to experimental animals, LASA produced a WG Report 'Guidance on the
184 transport of laboratory animals'⁷ which lists all the documentation needed during the
185 planning of the journey (see Paragraph 3.3⁷). Of course, the number and type of

186 documents to be filled up depend on the journey type, species, microbiological status,
187 and route. However, the following information should not be missing:

- 188 *i.* shipment documentation details such as waybill number or IATA Shipper's
189 certificate (for Air transport), import licenses issued by the State Veterinary
190 Service, CITES permits where necessary (for intra-European and Third-
191 country shipping), invoices for Customs purposes, health certificate of the
192 animal transported signed by the Designated Veterinarian, journey log or
193 transfer authorizations from specific bodies that regulate laboratory
194 animals use;
- 195 *ii.* animal details such as species, strain, scientific name, number, sex, age,
196 weight, identification numbers or any special requirements resulting from
197 phenotype;
- 198 *iii.* personnel details such as contact information of sender, intermediaries,
199 consignee, shipper/carrier, veterinarian;
- 200 *iv.* crates with date and times the animals were packed loaded, and departed
201 with clear 'Live animals' and orientation arrows labels; *v.* expected events,
202 such as proposed and actual rest periods, pre-journey review of plan by
203 consignor and post-journey review of plan by new owner⁷ (see also Pieroni
204 et al., 2021 for details).

205

206 Different forms, different methods: considerations for Capture and 207 Transport methods of different cephalopods' life stages

208 It is essential to take into account the life stage of the target cephalopod species.

209 Live cephalopods or eggs?

210 Collection and standardized transport of eggs for target cephalopod species have been
211 proposed as an alternative, since these appear easier to manage.

212 For 'classic' laboratory species (e.g., fish), moving of embryos, sperm or eggs between
213 research facilities has increased and is now well established. This approach is also
214 considered as a way to comply with Refinement since transport appear to be stressful
215 for live animals and could impact their welfare, while for early life stages it seems
216 limited. On the other hand, the use of captive animals (raised from eggs) would allow
217 to fulfill experimental requirements, such as controlling previous experiences. Under
218 similar considerations, the use of animals taken from the wild would be in accordance
219 with the principle of using animals that have been 'primed' by natural environmental
220 stimuli.

221

222 When applied to cephalopods, considerations should be taken to offer a standardized
223 method of egg collection to reduce the impact over natural resources (e.g., collecting
224 those stranded on the beach or those captured as by-catch), and to minimize the harm
225 to the egg masses. Though, cephalopods eggs require delicacy in handling, accurate
226 temperature and salinity control during all phases, including transportation^{8, 9}. It is
227 noteworthy to remind that eggs collected at advanced stages of development may
228 induce premature hatch during transport and because of handling as they also have
229 higher metabolic requirements¹⁰, and - for some cephalopod species - maternal care is
230 needed in order to develop in a proper and healthy way.

231 Methods for transport of eggs of several cephalopod species have been developed
232 achieving some standardization. Examples are available for cuttlefish, squid and
233 octopus eggs^{9, 11}.

234

235 The Directive 2010/63/EU considers the protection of this taxon from hatching.
236 However, several studies are based on the collection and culture of egg masses from
237 the wild which - although easier to obtain and then transport in terms of size of
238 containers and water volume - require particular care, being very sensitive to even
239 little changes in temperature, pH and salinity (for example see Iglesias et al., 2007)¹².
240 Storing conditions during embryonic development should also be monitored because
241 eggs health state will consequently affect the “quality” of the hatchlings. As
242 mentioned, it is also crucial to consider whether maternal care is required, like in
243 incirrate octopods or oceanic squids^{13, 14} and attempts to properly simulate are
244 mandatory. Maternal care is a critical factor for the proper embryonic development
245 and to limit the risk of premature hatching¹⁵⁻¹⁹.

246

247 [From hatchlings to adult](#)

248 Cephalopod hatchlings are either miniature adults or planktonic paralarvae with
249 relatively short arms and limited swimming ability²⁰ and represent extremely delicate
250 developing forms, very sensitive to any insult or change in the water parameters from
251 the site of collection to the containers. Nevertheless, the majority of studies (see table
252 2 in Pieroni et al., 2021) used trawls and bongo nets for collection, with few reporting
253 also that animals which resulted damaged were excluded from the experiments (for
254 example see Otero et al., 2016)²¹. A few notes about capture and transport of paralarval
255 stages of cephalopods is given in the ancillary work to this paper (Pieroni et al., 2021).

256

257 The collection of juveniles is a challenging task because these may be selective and
258 with rigid feeding requirements²²; appropriate cautions should be applied in these
259 circumstances, because a traumatic capture might affect highly feeding and behavioral
260 responses that may translate into fatal conditions.

261

262 Adults have also important temperature, salinity and pH requirements as most of the
263 cephalopods are stenotherm and stenohaline. It follows that the inappropriate capture
264 and transport of any stage from the wild might result in high mortality and therefore
265 considerable species-specific care is needed if viable animals have to be returned to
266 the laboratory^{3, 11, 23}. Further information and summary of requirements are provided
267 in Pieroni et al. (2021).

268

269 Other Recommendations for live cephalopods transport

270 Prior to transport, and before long-journeys, wild-caught cephalopods should be
271 acclimated to captivity in aquaria and should be monitored during this phase,
272 checking for good appetite, presence of any skin lesions and potential unusual
273 behaviour.

274 If the journey to the lab is brief, small sepioids and octopuses could be temporarily
275 placed in containers part-filled with seawater. If temperature, pH and oxygen content
276 values change, renewal of seawater is mandatory⁴. For transport lasting more than one

277 or two hours, small numbers of small individuals could be carried in cooled boxes
278 with only sufficient water to cover the animals, each one contained in a polythene bag
279 about 1/3 filled with seawater with oxygen filling the remaining space. Survival for 8-
280 10 h was reported to be easily possible by sealing and keeping the bags cool.

281

282 Another factor to consider is food deprivation. It is suggested to prevent animals from
283 feeding for 24 hours before long-term journeys because it helps keeping metabolic rate
284 under baseline, possibly limiting ammonia build-up during transport. However, food
285 deprivation depends on the animal normal feeding frequency, oro-anal transit time
286 and renal ammonium ion excretion for the species²⁴. Sedation is not essential and is
287 not recommended for transport of most cephalopods. However, sedation methods
288 have been utilized for the transport of some species, in some cases with controversial
289 results. Interestingly Grimpe¹¹ suggested that very long duration transportation - i.e.
290 requiring more than two days - should be achieved in steps allowing 'resting' periods
291 in appropriate locations which is what nowadays is indicated by the European and
292 international legislations for the transport of live animals (for details see Pieroni et al.,
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